

# CHAPTER 4: INTERPROCESS COMMUNICATION AND COORDINATION

## Chapter outline

- Discuss three levels of communication: basic message passing, request/reply and transaction communication based on message passing
- Discuss name services for communication
- Show examples of process coordination using message passing

## Basic message passing communication

Communication primitives:

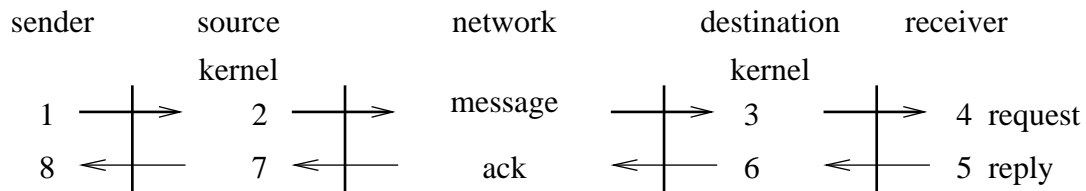
**send**(destination, message)

**receive**(source, message)

**channel naming** = process name, link, mailbox, port

- *direct communication*: symmetric/asymmetric process naming, link
- *indirect communication*: many-to-many mailbox, many-to-one port

## Message buffering and synchronization

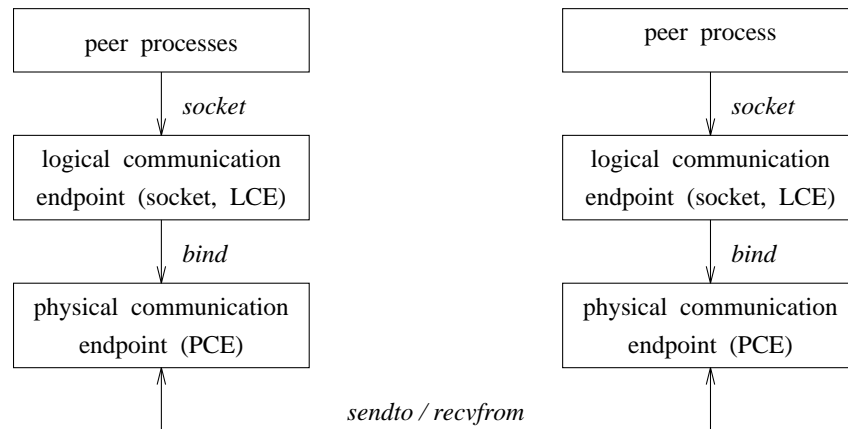


1. **Nonblocking send,  $1+8$** : Sender process is released after message has been composed and copied into sender's kernel.
2. **Blocking send,  $1+2+7+8$** : Sender process is released after message has been transmitted to the network.
3. **Reliable blocking send,  $1+2+3+6+7+8$** : Sender process is released after message has been received by the receiver's kernel.
4. **Explicit blocking send,  $1+2+3+4+5+6+7+8$** : Sender process is released after message has been received by the receiver process.
5. **Request and reply,  $1-4, service, 5-8$** : Sender process is released after message has been processed by the receiver and response returned to the sender.

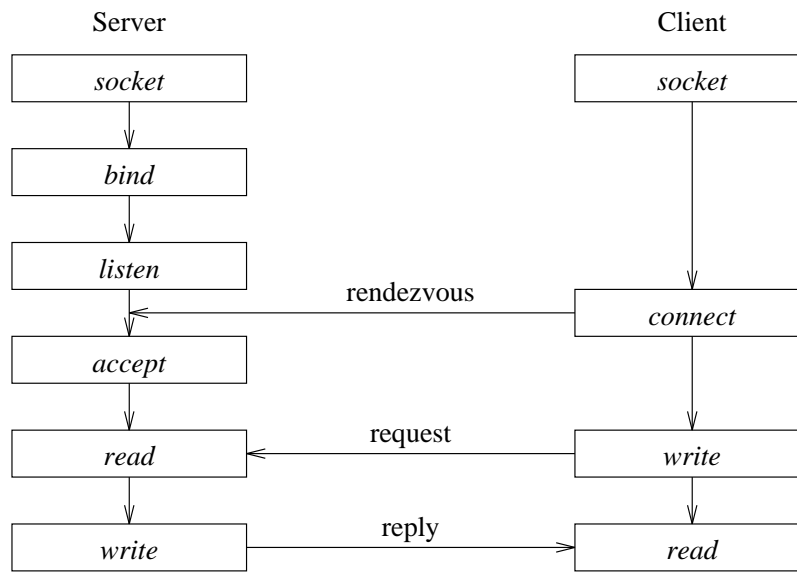
## Message passing API

- *Pipe*: A FIFO byte-stream unidirectional link for related processes
- *Message queue*: A structured variable length message queue
- *Named Pipe*: A special FIFO file pipe using path name for unrelated processes under the same domain
- *Socket*: A logical communication endpoint for communication between autonomous domains

## Connectionless socket communication

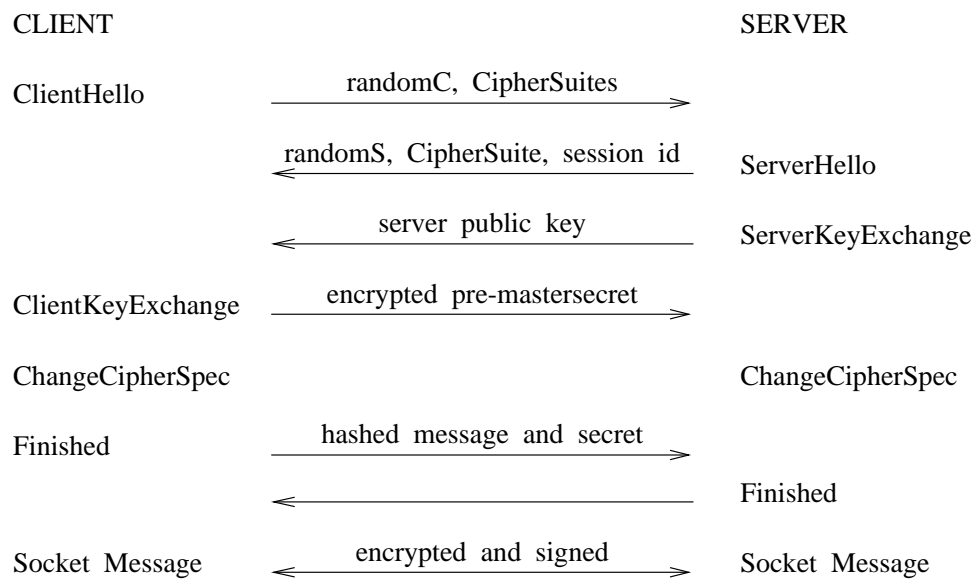


## Connection-oriented socket communication



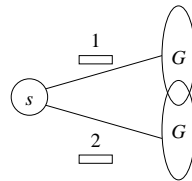
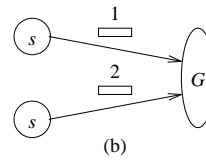
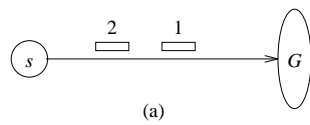
## Secure Socket Layer protocol

- *Privacy*: use symmetric private-key cryptography
- *Integrity*: use message integrity check
- *Authenticity*: use asymmetric public-key cryptography

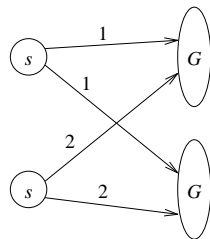
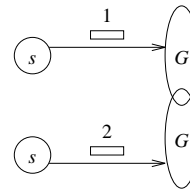


## Group communication and multicast

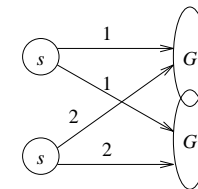
- *Best effort*
- *All or none*
- *Orderly delivery*
  - FIFO
  - Causal order
  - Total order



(c)



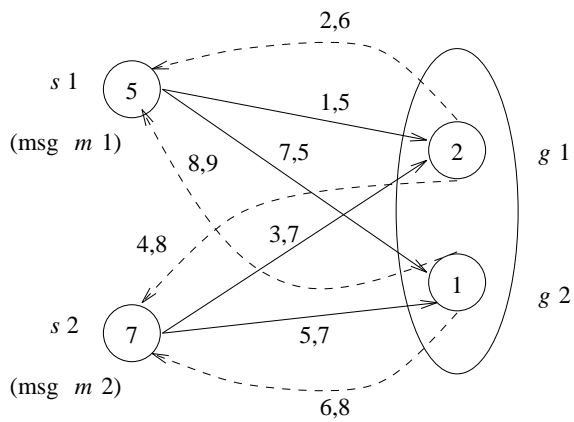
(d)



### Causal order

- Accept message  $m$  if  $T_i = S_i + 1$  and  $T_k \leq S_k$  for all  $k \neq i$ .
- Delay message  $m$  if  $T_i > S_i + 1$  or there exists a  $k \neq i$  such that  $T_k > S_k$ .
- Reject the message if  $T_i \leq S_i$ .

### Total order

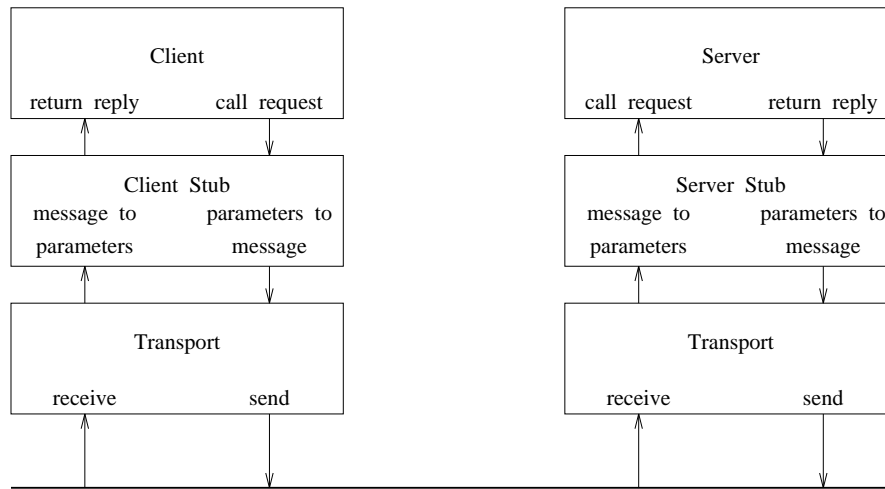


Multicast Message	Acknowledge Time	Commit Time
$m_0$	2	delivered
$m_1$	6	9
$m_2$	8	8
$m_3$	10	pending

Buffer management in the communication handler

## Request/reply communication

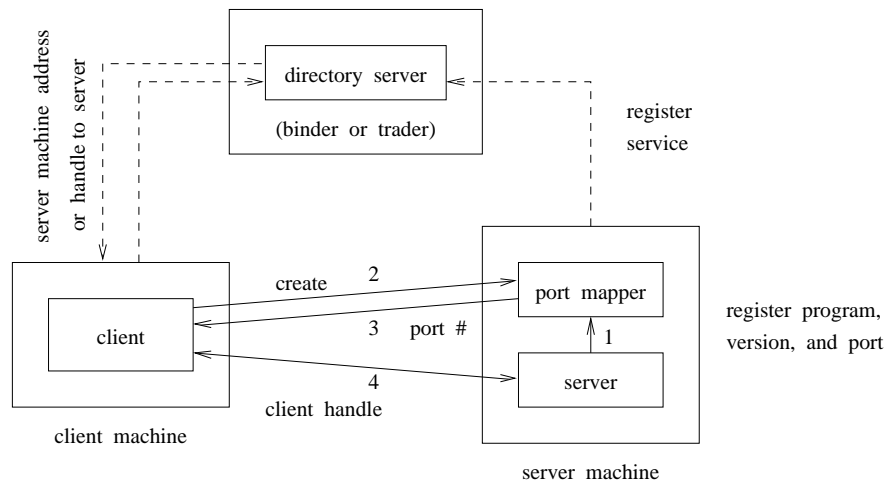
Remote Procedure Calls (RPCs)



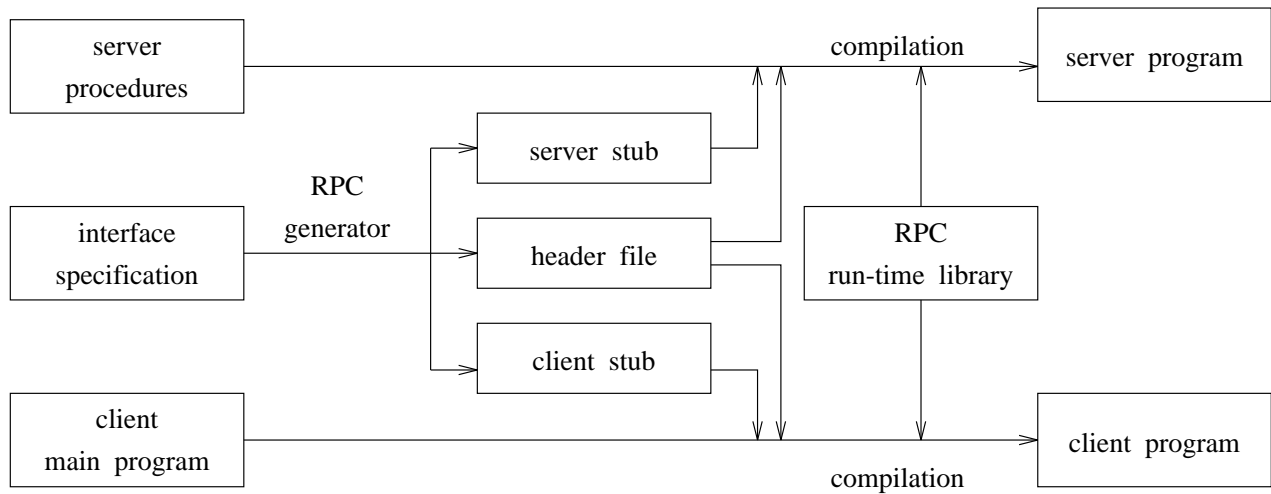
- *Parameter passing and data conversion*
- *Binding*
- *Compilation*
- *Exception and failure handling*
- *Security*



## RPC Binding



## RPC compilation



## RPC exception and failure

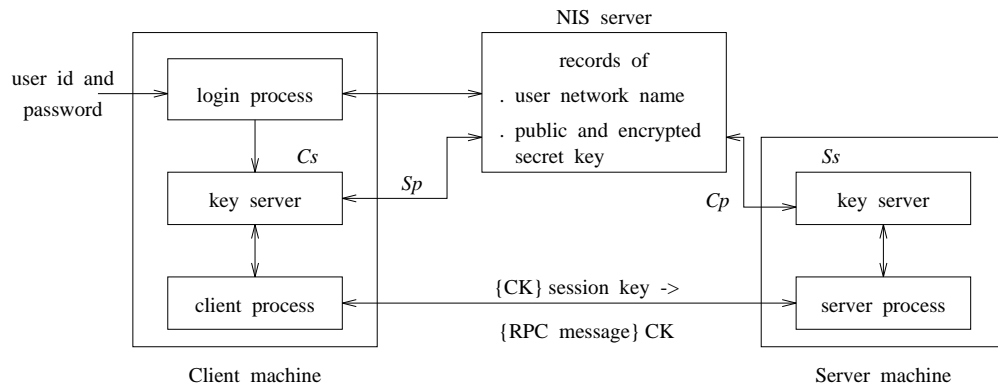
- *Exception*: in-band or out-band signaling
- *Link failure*: retransmission, sequence number and idempotent requests, use of transaction id *xid*
- *Server crash*:
  - *at least once*: server raises an exception and client retries
  - *at most once*: server raises an exception and client gives up
  - *maybe*: server raises no exception and client retries
- *Client crash*:
  - orphan killed by client
  - orphan killed by server
  - orphan killed by expiration

## Secure RPC

- $C_s$  and  $S_s$  are 128-bit random numbers.
- $C_p = \alpha^{C_s} \bmod M$ , and  $S_p = \alpha^{S_s} \bmod M$ , where  $\alpha$  and  $M$  are known constants.

$$SK_{cs} = S_p^{C_s} = (\alpha^{S_s})^{C_s} = \alpha^{S_s * C_s}$$

$$SK_{sc} = C_p^{S_s} = (\alpha^{C_s})^{S_s} = \alpha^{C_s * S_s}$$

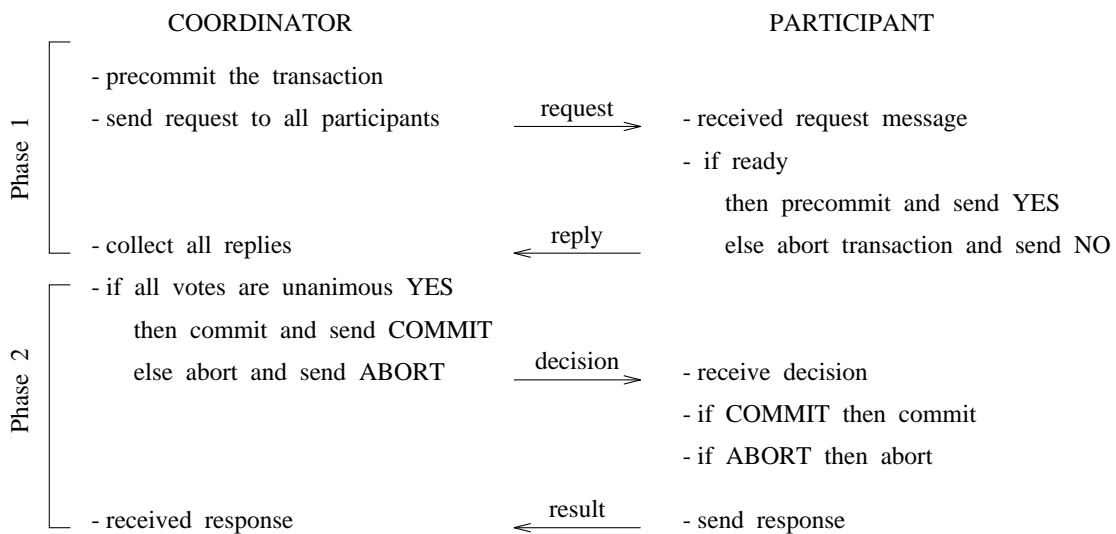


# Transaction Communication

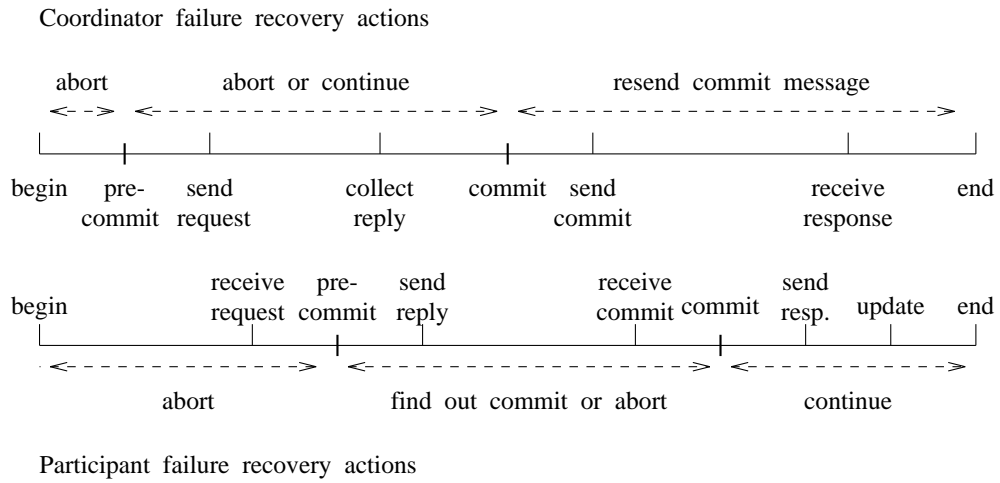
## ACID properties

- *Atomicity*
- *Consistency*
- *Isolation*
- *Durability*

## Two-phase commit protocol



## Failure and recovery of the 2PC protocol

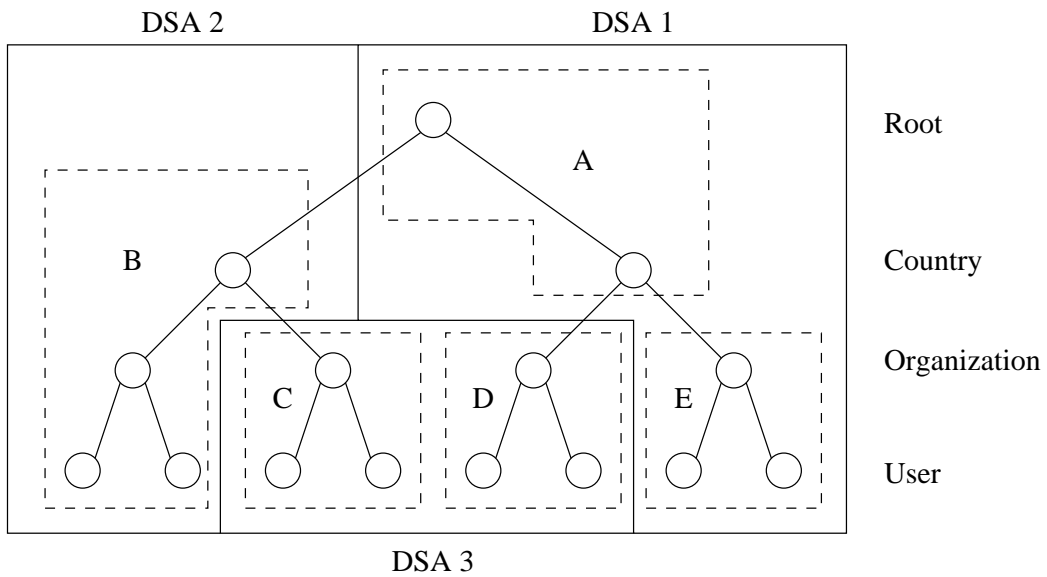


# Name and Directory Services

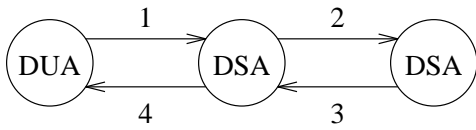
## Object attributes and name structures

Service /object Attributes	Name Structures	Attribute Partitioning
< attributes >	flat structure	physical
< name, attributes, address >	hierarchical structure name-based resolution (e.g., white pages)	organizational
< name, type, attributes, address >	structure-free attribute-based resolution (e.g., yellow pages)	functional

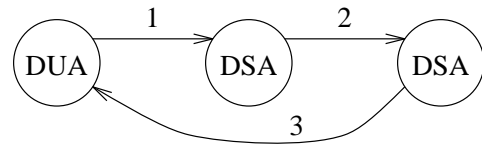
## Name space and information base



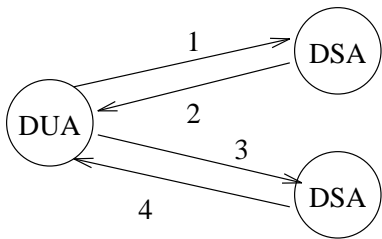
## Name resolution



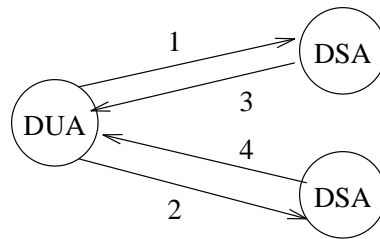
Recursive chaining



Transitive chaining



Referral

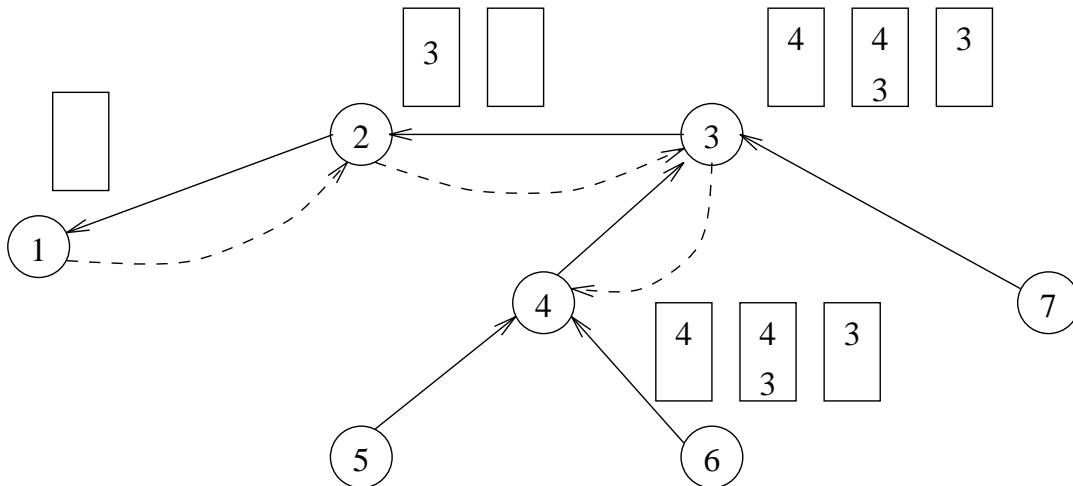


Multicast

## Distributed Mutual Exclusion

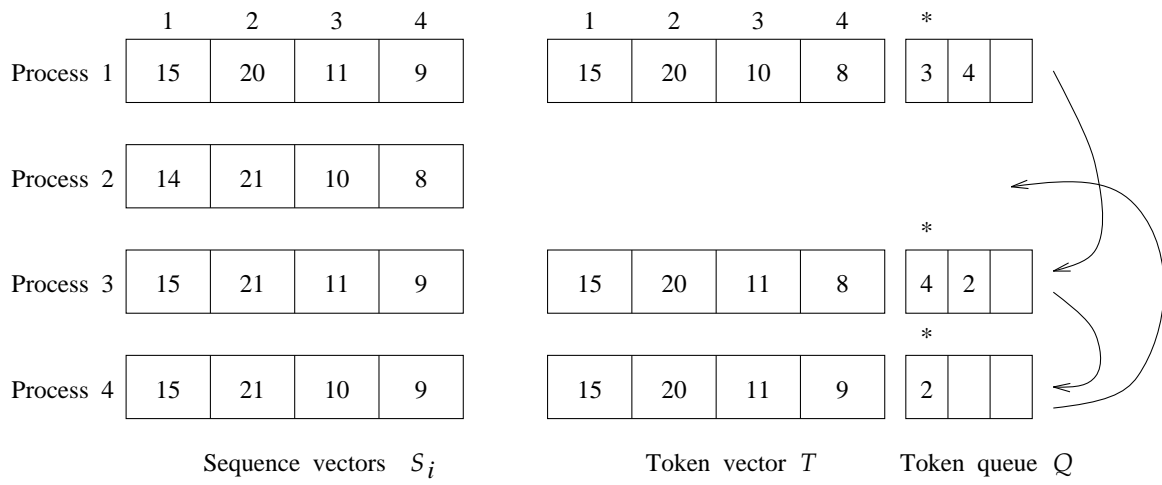
- *Contention-based:*
  - Timestamp prioritized
  - Voting
- *Control (Token)-based:*
  - Ring structure
  - Tree structure
  - Broadcast structure

### Tree-structure token passing





## Broadcast structure token passing



## Leader Election

### Complete topology

The Bully algorithm

### Logic ring topology

The initiator node sets participating = true and  
**send** (id) to its successor node;

For each process node ,

**receive** (value);

**case**

value > id : participating := true, **send** (value);

value < id and participating == false : participating := true, **send** (id);

value == id : announce leader;

**end case**

### Tree topology