

## Bakery Algorithm

- Also called Lamport's bakery algorithm
  - after Leslie Lamport
  - A New Solution of Dijkstra's Concurrent Programming Problem  
Communications of the ACM 17, 8 (August 1974), 453-455.
- This is a mutual exclusion algorithm to prevent concurrent threads from entering critical sections concurrently
- source: wikipedia

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## Bakery Algorithm

- Analogy
  - bakery with a numbering machine
  - each customer receives unique number
    - numbers increase by one as customers enter
  - global counter displays number of customer being served currently
    - all others wait in queue
  - after baker is done serving customer the next number is displayed
  - served customer leaves

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# Bakery Algorithm

- threads and bakery analogy
  - when thread wants to enter critical section it has to make sure it has the smallest number.
    - however, with threads it may not be true that only one thread gets the same number
      - e.g., if number operation is non-atomic
    - if more than one thread has the smallest number then the thread with lowest id can enter
  - use pair (number, ID)
    - In this context  $(a,b) < (c,d)$  is equivalent to
    - $(a < c)$  or  $((a == c) \text{ and } (b < d))$

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# Bakery Algorithm

from wikipedia

```
// declaration and initial values of global variables
Entering: array [1..N] of bool = {false};
Number: array [1..N] of integer = {0};

1 lock(integer i)
2 {
3     Entering[i] = true;
4     Number[i] = 1 + max(Number[1], ..., Number[N]);
5     Entering[i] = false;
6     for (j = 1; j <= N; j++) {
7         // Wait until thread j receives its number:
8         while (Entering[j]) { /* nothing */ }
9         // Wait until all threads with smaller numbers or with the same
10        // number, but with higher priority, finish their work:
11        while ((Number[j] != 0) && ((Number[j], j) < (Number[i], i))) {
12            /* nothing */
13        }
14    }
15 }
16 unlock(integer i) { Number[i] = 0; }
17
18 Thread(integer i) {
19     while (true) {
20         lock(i);
21         // The critical section goes here...
22         unlock(i);
23         // non-critical section...
24     }
25 }
```

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# Peterson's Algorithm 1981

- solves critical section problem
- based on shared memory for communication

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# Peterson's Algorithm

from wikipedia

```
flag[0] = 0
flag[1] = 0
turn = 0

P0: flag[0] = 1
    turn = 1
    while( flag[1] && turn == 1 );
        // do nothing
    // critical section
    ...
    // end of critical section
    flag[0] = 0

P1: flag[1] = 1
    turn = 0
    while( flag[0] && turn == 0 );
        // do nothing
    // critical section
    ...
    // end of critical section
    flag[1] = 0
```

flag value 1 means process wants to enter critical section

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## Semaphores

- Special variable called a semaphore is used for signaling
- If a process is waiting for a signal, it is suspended until that signal is sent

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## Semaphores

- Semaphore is a variable that has an integer value
  - May be initialized to a nonnegative number
  - *Wait* operation decrements the semaphore value
  - *Signal* operation increments semaphore value

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## Semaphore Primitives

```
struct semaphore {
    int count;
    queueType queue;
}

void semWait(semaphore s)
{
    s.count--;
    if (s.count < 0)
    {
        place this process in s.queue;
        block this process
    }
}

void semSignal(semaphore s)
{
    s.count++;
    if (s.count <= 0)
    {
        remove a process P from s.queue;
        place process P on ready list;
    }
}
```

Figure 5.3 A Definition of Semaphore Primitives

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## Binary Semaphore Primitives

```
struct binary_semaphore {
    enum {zero, one} value;
    queueType queue;
};

void semWaitB(binary_semaphore s)
{
    if (s.value == 1)
        s.value = 0;
    else
    {
        place this process in s.queue;
        block this process;
    }
}

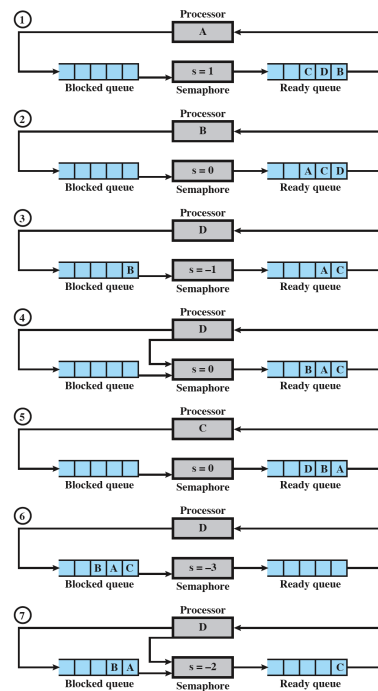
void semSignalB(semaphore s)
{
    if (s.queue.is_empty())
        s.value = 1;
    else
    {
        remove a process P from s.queue;
        place process P on ready list;
    }
}
```

Figure 5.4 A Definition of Binary Semaphore Primitives

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Assume process A,B  
and C depend on result  
of process D

Initially one result of D  
is available (s = 1)



## Mutual Exclusion Using Semaphores

```

/* program mutualexclusion */
const int n = /* number of processes */;
semaphore s = 1;
void P(int i)
{
    while (true)
    {
        semWait(s);
        /* critical section */;
        semSignal(s);
        /* remainder */;
    }
}
void main()
{
    parbegin (P(1), P(2), . . . , P(n));
}

```

Figure 5.6 Mutual Exclusion Using Semaphores

Assume 3 processes, A, B and C

