Deadlock Detection

- Two phase process
  - deadlock detection
    - figure out that deadlock occurred
  - deadlock resolution
    - do something to resolve it

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Deadlock Detection

	R1	R2	R3	R4	R5
P1	0	1	0	0	1
P2	0	0	1	0	1
P3	0	0	0	0	1
P4	1	0	1	0	1

Request matrix **Q**

	R1	R2	R3	R4	R5
P1	1	0	1	1	0
P2	1	1	0	0	0
P3	0	0	0	1	0
P4	0	0	0	0	0

Allocation matrix **A**

R1	R2	R3	R4	R5
2	1	1	2	1

Resource vector

R1	R2	R3	R4	R5
0	0	0	0	1

Available vector

Figure 6.10 Example for Deadlock Detection

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Strategies once Deadlock Detected

- Abort all deadlocked processes
- Back up each deadlocked process to some previously defined checkpoint, and restart all process
  - Original deadlock may reoccur
- Successively abort deadlocked processes until deadlock no longer exists
- Successively preempt resources until deadlock no longer exists

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Selection Criteria Deadlocked Processes

- Many criteria to select from, e.g.
  - Least amount of processor time consumed so far
  - Least number of lines of output produced so far
  - Most estimated time remaining
  - Least total resources allocated so far
  - Lowest priority

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Strengths and Weaknesses of the Strategies

Table 6.1 Summary of Deadlock Detection, Prevention, and Avoidance Approaches for Operating Systems [ISLO80]

Approach	Resource Allocation Policy	Different Schemes	Major Advantages	Major Disadvantages
Prevention	Conservative; undercommits resources	Requesting all resources at once	•Works well for processes that perform a single burst of activity •No preemption necessary	•Inefficient •Delays process initiation •Future resource requirements must be known by processes
		Preemption	•Convenient when applied to resources whose state can be saved and restored easily	•Preempts more often than necessary
		Resource ordering	•Feasible to enforce via compile-time checks •Needs no run-time computation since problem is solved in system design	•Disallows incremental resource requests
Avoidance	Midway between that of detection and prevention	Manipulate to find at least one safe path	•No preemption necessary	•Future resource requirements must be known by OS •Processes can be blocked for long periods
Detection	Very liberal; requested resources are granted where possible	Invoke periodically to test for deadlock	•Never delays process initiation •Facilitates on-line handling	•Inherent preemption losses

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Dining Philosophers Problem

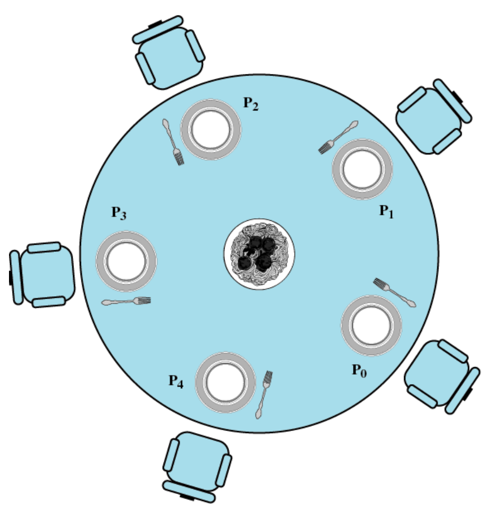

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Figure 6.11 Dining Arrangement for Philosophers

Dining Philosophers Problem

```
/* program     diningphilosophers */
semaphore fork [5] = {1};
int i;
void philosopher (int i)
{
     while (true)
     {
          think();
          wait (fork[i]);
          wait (fork [(i+1) mod 5]);
          eat();
          signal(fork [(i+1) mod 5]);
          signal(fork[i]);
     }
}
void main()
{
     parbegin (philosopher (0), philosopher (1), philosopher (2),
          philosopher (3), philosopher (4));
     }
```

Figure 6.12 A First Solution to the Dining Philosophers Problem

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Dining Philosophers Problem

```
/* program diningphilosophers */
semaphore fork[5] = {1};
semaphore room = {4};
int i;
void philosopher (int I)
{
    while (true)
    {
     think();
     wait (room);
     wait (fork[i]);
     wait (fork [(i+1) mod 5]);
     eat();
     signal (fork [(i+1) mod 5]);
     signal (fork[i]);
     signal (room);
    }

}
void main()
{
    parbegin (philosopher (0), philosopher (1), philosopher (2),
         philosopher (3), philosopher (4));
}
```

Figure 6.13 A Second Solution to the Dining Philosophers Problem

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Dining Philosophers Problem

```
monitor dining_controller;
cond ForkReady[5];          /* condition variable for synchronization */
boolean fork[5] = {true};         /* availability status of each fork */

void get_forks(int pid)           /* pid is the philosopher id number */
{
   int left = pid;
   int right = (pid++) % 5;
   /*grant the left fork*/
   if (!fork(left)
      cwait(ForkReady[left]);          /* queue on condition variable */
   fork(left) = false;
   /*grant the right fork*/
   if (!fork(right)
      cwait(ForkReady(right);          /* queue on condition variable */
   fork(right) = false:
}
void release_forks(int pid)
{
   int left = pid;
   int right = (pid++) % 5;
   /*release the left fork*/
   if (empty(ForkReady[left])      /*no one is waiting for this fork */
      fork(left) = true;
   else                     /* awaken a process waiting on this fork */
      csignal(ForkReady[left]);
   /*release the right fork*/
   if (empty(ForkReady[right])     /*no one is waiting for this fork */
      fork(right) = true;
   else                     /* awaken a process waiting on this fork */
      csignal(ForkReady[right]);
}
```

```
void philosopher[k=0 to 4]            /* the five philosopher clients */
{
   while (true)
   {
      <think>;
      get_forks(k);         /* client requests two forks via monitor */
      <eat spaghetti>;
      release_forks(k);     /* client releases forks via the monitor */
   }
}
```

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Figure 6.14 A Solution to the Dining Philosophers Problem Using a Monitor

Dining Philosophers Problem

```
monitor dining_controller;
enum states {thinking, hungry, eating} state[5];
cond needFork[5]                              /* condition variable */

void get_forks(int pid)           /* pid is the philosopher id number */
{
   state[pid] = hungry;                    /* announce that I'm hungry */
   if (state[(pid+1) % 5] == eating
   || (state[(pid-1) % 5] == eating
   cwait(needFork[pid]);         /* wait if either neighbor is eating */
   state[pid] = eating;      /* proceed if neither neighbor is eating */
}

void release_forks(int pid)
{
   state[pid] = thinking;
   /* give right (higher) neighbor a chance to eat */
   if (state[(pid+1) % 5] == hungry)
   || (state[(pid+2) % 5]) != eating)
   csignal(needFork[pid+1]);
   /* give left (lower) neighbor a chance to eat */
   else if (state[(pid-1) % 5] == hungry)
   || (state[(pid-2) % 5]) != eating)
   csignal(needFork[pid-1]);
}
```

```
void philosopher[k=0 to 4]            /* the five philosopher clients */
{
   while (true)
   {
      <think>;
      get_forks(k);         /* client requests two forks via monitor */
      <eat spaghetti>;
      release_forks(k);     /* client releases forks via the monitor */
   }
}
```

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Figure 6.17 Another Solution to the Dining Philosophers Problem Using a Monitor

UNIX Concurrency Mechanisms

- Pipes
- Messages
- Shared memory
- Semaphores
- Signals

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UNIX Pipes

- used to carry data from one process to another
- one process writes into the pipe
- the other reads from the other end
- essentially FIFO

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UNIX Pipes

- Examples
  - ls | pr | lpr
    - pipe ls into the standard input of pr
    - pr pipes its standard output to lpr
    - pr in this case is called a *filter*
  - ls > filea
  - pr < filea > fileb
    - read input from filea and output to fileb

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Signals

- Signals are a facility for handling exceptional conditions similar to software interrupts
- Generated by keyboard interrupt, error in a process, asynchronous events
  - timer
  - job control
- Kill command can generate almost any signal

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Table 6.2 UNIX Signals

Value	Name	Description
01	SIGHUP	Hang up; sent to process when kernel assumes that the user of that process is doing no useful work
02	SIGINT	Interrupt
03	SIGQUIT	Quit; sent by user to induce halting of process and production of core dump
04	SIGILL	Illegal instruction
05	SIGTRAP	Trace trap; triggers the execution of code for process tracing
06	SIGIOT	IOT instruction
07	SIGEMT	EMT instruction
08	SIGFPE	Floating-point exception
09	SIGKILL	Kill; terminate process
10	SIGBUS	Bus error
11	SIGSEGV	Segmentation violation; process attempts to access location outside its virtual address space
12	SIGSYS	Bad argument to system call
13	SIGPIPE	Write on a pipe that has no readers attached to it
14	SIGALRM	Alarm clock; issued when a process wishes to receive a signal after a period of time
15	SIGTERM	Software termination
16	SIGUSR1	User-defined signal 1
17	SIGUSR2	User-defined signal 2
18	SIGCHLD	Death of a child
19	SIGPWR	Power failure

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Linux Kernel Concurrency Mechanisms

- Includes all the mechanisms found in UNIX
- Atomic operations execute without interruption and without interference

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Linux Atomic Operations

Atomic Integer Operations	
`ATOMIC_INIT (int i)`	At declaration: initialize an atomic_t to i
`int atomic_read(atomic_t *v)`	Read integer value of v
`void atomic__set(atomic_t *v, int i)`	Set the value of v to integer i
`void atomic_add(int i, atomic_t *v)`	Add i to v
`void atomic_sub(int i, atomic_t *v)`	Subtract i from v
`void atomic__inc(atomic_t *v)`	Add 1 to v
`void atomic__dec(atomic_t *v)`	Subtract 1 from v
`int atomic_sub_and_test(int i, atomic_t *v)`	Subtract i from v; return 1 if the result is zero; return 0 otherwise
`int atomic_add_negative(int i, atomic_t *v)`	Add i to v; return 1 if the result is negative; return 0 otherwise (used for implementing semaphores)
`int atomic_dec_and_test(atomic_t *v)`	Subtract 1 from v; return 1 if the result is zero; return 0 otherwise
`int atomic_inc_and_test(atomic_t *v)`	Add 1 to v; return 1 if the result is zero; return 0 otherwise

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Linux Atomic Operations

Atomic Bitmap Operations	
`void set_bit(int nr, void *addr)`	Set bit nr in the bitmap pointed to by addr
`void clear_bit(int nr, void *addr)`	Clear bit nr in the bitmap pointed to by addr
`void change_bit(int nr, void *addr)`	Invert bit nr in the bitmap pointed to by addr
`int test_and_set_bit(int nr, void *addr)`	Set bit nr in the bitmap pointed to by addr; return the old bit value
`int test_and_clear_bit(int nr, void *addr)`	Clear bit nr in the bitmap pointed to by addr; return the old bit value
`int test_and_change_bit(int nr, void *addr)`	Invert bit nr in the bitmap pointed to by addr; return the old bit value
`int test_bit(int nr, void *addr)`	Return the value of bit nr in the bitmap pointed to by addr

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Linux Spinlocks

- Used for protecting a critical section
- Only one thread at a time can acquire a spinlock, other threads will "spin" on that lock
  - internally, integer local in memory
    - if value is 0, the thread sets it to 1 and enters critical section
  - spinlocks are not very efficient
    - why? waiting threads are in busy-waiting mode
    - use when wait-times are expected to be very short

```
spin_lock(&lock)
/*critical section */
spin_unlock(&lock)
```

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Linux Kernel Concurrency Mechanisms

- Spinlocks
  - Used for protecting a critical section

Table 6.4 Linux Spinlocks

`void spin_lock(spinlock_t *lock)`	Acquires the specified lock, spinning if needed until it is available
`void spin_lock_irq(spinlock_t *lock)`	Like spin_lock, but also disables interrupts on the local processor
`void spin_lock_irqsave(spinlock_t *lock, unsigned long flags)`	Like spin_lock_irq, but also saves the current interrupt state in flags
`void spin_lock_bh(spinlock_t *lock)`	Like spin_lock, but also disables the execution of all bottom halves
`void spin_unlock(spinlock_t *lock)`	Releases given lock
`void spin_unlock_irq(spinlock_t *lock)`	Releases given lock and enables local interrupts
`void spin_unlock_irqrestore(spinlock_t *lock, unsigned long flags)`	Releases given lock and restores local interrupts to given previous state
`void spin_unlock_bh(spinlock_t *lock)`	Releases given lock and bottom halves
`void spin_lock_init(spinlock_t *lock)`	Initializes given spinlock
`int spin_trylock(spinlock_t *lock)`	Tries to acquire specified lock; returns nonzero if lock is currently held and zero otherwise
`int spin_is_locked(spinlock_t *lock)`	Returns nonzero if lock is currently held and zero otherwise

Table 6.5 Linux Semaphores

Traditional Semaphores	
`void sema_init(struct semaphore *sem, int count)`	Initializes the dynamically created semaphore to the given count
`void init_MUTEX(struct semaphore *sem)`	Initializes the dynamically created semaphore with a count of 1 (initially unlocked)
`void init_MUTEX_LOCKED(struct semaphore *sem)`	Initializes the dynamically created semaphore with a count of 0 (initially locked)
`void down(struct semaphore *sem)`	Attempts to acquire the given semaphore, entering uninterruptible sleep if semaphore is unavailable
`int down_interruptible(struct semaphore *sem)`	Attempts to acquire the given semaphore, entering interruptible sleep if semaphore is unavailable; returns -EINTR value if a signal other than the result of an up operation is received.
`int down_trylock(struct semaphore *sem)`	Attempts to acquire the given semaphore, and returns a nonzero value if semaphore is unavailable
`void up(struct semaphore *sem)`	Releases the given semaphore
Reader-Writer Semaphores	
`void init_rwsem(struct rw_semaphore, *rwsem)`	Initalizes the dynamically created semaphore with a count of 1
`void down_read(struct rw_semaphore, *rwsem)`	Down operation for readers
`void up_read(struct rw_semaphore, *rwsem)`	Up operation for readers
`void down_write(struct rw_semaphore, *rwsem)`	Down operation for writers
`void up_write(struct rw_semaphore, *rwsem)`	Up operation for writers

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Memory Barrier

- A class of instructions
- Enforces that CPU executes memory operations in order
- Why would one need to enforce in-order execution?

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Memory Barrier Operations

- Consider the following 2 processes

  Proc #1:

  loop: load the value of location y,
  if it is 0 goto loop
  print the value in location x

  Proc #2:

  store the value 55 into location x
  store the value 1 into location y

- What is the output?

Linux Kernel Concurrency Mechanisms

Table 6.6 Linux Memory Barrier Operations

`rmb()`	Prevents loads from being reordered across the barrier
`wmb()`	Prevents stores from being reordered across the barrier
`mb()`	Prevents loads and stores from being reordered across the barrier
`barrier()`	Prevents the compiler from reordering loads or stores across the barrier
`smp_rmb()`	On SMP, provides a `rmb()` and on UP provides a `barrier()`
`smp_wmb()`	On SMP, provides a `wmb()` and on UP provides a `barrier()`
`smp_mb()`	On SMP, provides a `mb()` and on UP provides a `barrier()`

SMP = symmetric multiprocessor
UP = uniprocessor

Solaris Thread Synchronization Primitives

- Mutual exclusion (mutex) locks
- Semaphores
- Multiple readers, single writer (readers/ writer) locks
- Condition variables

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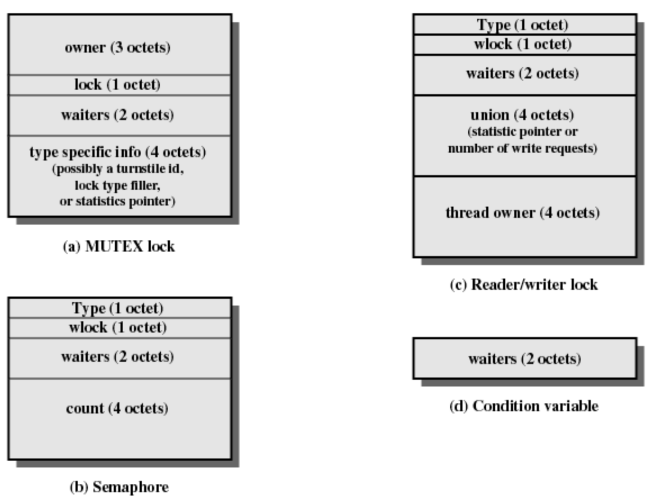

Figure 6.15 Solaris Synchronization Data Structures

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Table 6.7 Windows Synchronization Objects

Object Type	Definition	Set to Signaled State When	Effect on Waiting Threads
Event	An announcement that a system event has occurred	Thread sets the event	All released
Mutex	A mechanism that provides mutual exclusion capabilities; equivalent to a binary semaphore	Owning thread or other thread releases the mutex	One thread released
Semaphore	A counter that regulates the number of threads that can use a resource	Semaphore count drops to zero	All released
Waitable timer	A counter that records the passage of time	Set time arrives or time interval expires	All released
File change notification	A notification of any file system changes.	Change occurs in file system that matches filter criteria of this object	One thread released
Console input	A text window screen buffer (e.g., used to handle screen I/O for an MS-DOS application)	Input is available for processing	One thread released
Job	An instance of an opened file or I/O device	I/O operation completes	All released
Memory resource notification	A notification of change to a memory resource	Specified type of change occurs within physical memory	All released
Process	A program invocation, including the address space and resources required to run the program	Last thread terminates	All released
Thread	An executable entity within a process	Thread terminates	All released

Note: Colored rows correspond to objects that exist for the sole purpose of synchronization.